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U.S. PTO

03-23-01

PTO/SB/05 (4/98)

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))		Attorney Docket No. P313641
		First Inventor or Application Identifier Steven H. Rice
Title METHOD AND APPARATUS FOR A DEVICE TO CREATE A MUSICAL NOISE		
		Express Mail Label No. EL740968349US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington DC 20211
<p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a <i>duplicate</i> for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 28] (<i>preferred arrangement</i> set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]</p> <p>4. Oath or Declaration [Total Pages 33]</p> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (<i>for continuation/divisional with Box 16 completed</i>) <p>I <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p>		<p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
<p>ACCOMPANYING APPLICATION PARTS</p> <p>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of (<i>when there is an assignee</i>) <input type="checkbox"/> Attorney</p> <p>9. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p> <p>10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (<i>Should be specifically itemized</i>)</p> <p>* Small Entity</p> <p>13. <input checked="" type="checkbox"/> Statement(s) <input type="checkbox"/> Status still proper and desired (PTO/SB/09-12)</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>)</p> <p>15. <input type="checkbox"/> Other: Transmittal Letter w/ Express Cert. of Mailing.....</p>		

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP) of prior application No:

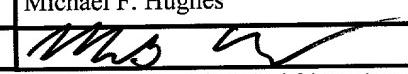
Group I Art Unit

Prior application information: Examiner _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	22931			<input type="checkbox"/> Correspondence address below
(Insert Customer No or Attach bar code label here)				
Name	Michael F. Hughes			
	Hughes & Schacht P.L.L.C.			
Address	2801 Meridian St. Suite 1			
City	Bellingham	State	WA	Zip Code
Country	U.S.	Telephone	(360) 647-1296	Fax (360) 671-2489

Name (Print/Type)	Michael F. Hughes	Registration No (Attorney/Agent)	41,084
Signature			Date March 21, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

03/21/01

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FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT \$ 562.00

		Complete if Known	
Application Number			
Filing Date		March 21, 2001	
First Named Inventor		Steven H. Rice	
Examiner Name			
Group / Art Unit			
Attorney Docket No.		P 313641	

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment to the account stated below.		3. ADDITIONAL FEES	
Deposit Account Number 08-3260		Large Entity Small Entity	
Deposit Account Name		Fee Code (\$)	Fee Description
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		105 130 205 65 Surcharge - late filing fee or oath	
2. <input checked="" type="checkbox"/> Payment Enclosed:		127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		139 130 139 130 Non-English specification	
FEE CALCULATION			
1. BASIC FILING			
Large Entity Small Entity			
Fee Fee Fee Fee Description			
Code (\$)			
101 760 201 380 Utility filing fee		Fee Paid 355.00	
106 310 206 155 Design filing fee			
107 480 207 240 Plant filing fee			
108 760 208 380 Reissue filing fee			
114 150 214 75 Provisional filing fee			
SUBTOTAL(1) \$ 355.00			
2. EXTRA CLAIM FEES			
Extra Claims Fee from below Fee Paid			
Total Claims 43	-20 = 23	X 9.00	\$ 207.00
Independent Claims 3	- 3 = 0	X 40.00	\$ 0.00
Multiple Dependent		none	\$ 0.00
*or number previously paid, if greater. For Reissues, see below			
Large Entity Small Entity			
Fee Fee Fee Fee Description			
Code (\$)			
103 18 203 9 Claims in excess of 20			
102 78 202 39 Independent claims in excess of 3			
104 260 204 130 Multiple dependent claim, if not paid			
109 78 209 39 ** Reissue independent claims over original patent			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) \$ 207.00			
Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$ 0.00)	

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Michael F. Hughes	Registration No. (Attorney/Agent)	41,084	Telephone (360)647-1296
Signature		Date	March 21, 2001	

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PATENT, TRADEMARK
& COPYRIGHT LAW

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

March 21, 2001
Docket No. P313641

Sir:

Transmitted herewith for filing is a Patent application:

Applicant: Mr. Steven H. Rice
Title: METHOD AND APPARATUS FOR A DEVICE TO CREATE A MUSICAL
NOISE

**Application is claiming priority benefit under 35 U.S.C. §119(e) of U.S. Serial
Number(s) and Filing Date(s):**

Serial Number Filing Date
60/191,005 03/21/00

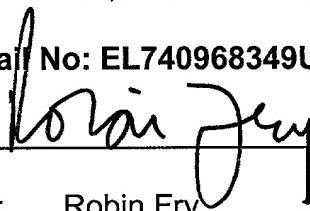
1. Enclosed are:

- a) Certificate of Express Mailing requesting treatment under 37 CFR 1.10,
Express Mail Filing Date of March 21, 2001, Label No. EL740968349US;
- b) 1-page Utility Patent Application Transmittal;
- c) 1-page Fee Transmittal in duplicate form;
- d) Unexecuted Combined Declaration and Power of Attorney;
- e) IDS PTO-1449 w/ Cited References;
- f) Specification, claims and abstract (28 pages total: 18 pages of specification,
9 pages of claims, 1 page of abstract);
- g) 5 sheets of informal drawings;
- h) A check in the amount of \$ 562.00 for Filing Fee; and
- i) A stamped return receipt postcard.

EXPRESS MAIL CERTIFICATE OF MAILING 37 C.F.R. §1.10

I hereby certify that this paper (along with any paper
referred to as being attached or enclosed) is being
deposited with the United States Postal Service,
Express Mail Post Office to Addressee, in an
envelope addressed to BOX PATENT
APPLICATION, Assistant Commissioner for Patents,
Washington, DC 20231, on the date shown below.

Express Mail No: EL740968349US

Signature: 

Print Name: Robin Fry
DATE MAILED: March 21, 2001